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**NATIONAL GUARD**

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# **Hiring For Success**

## ***A PRACTICAL INTERVIEW GUIDE***

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# Introduction

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## Overview

The Idaho National Guard's mission is substantially affected by the Full Time Support staff, and who gets hired. Our agency must select people who possess the characteristics required for the job, and the values congruent with our services. The employment interview is an effective way of determining who has these attributes and therefore, who is right for a job.

The interview is popular because it is more personal than other selection assessments (e.g., written tests) and because it can be used to evaluate job characteristics not easily measured with other procedures (e.g., Oral Communication and Interpersonal Skills).

Interviews are used for one of two purposes in the Idaho National Guard. First, the interview is used as part of the formal selection process in which candidates are screened or ranked based on their scores. Second, an interview can be used to verify candidates' qualifications for a job prior to making a hiring decision.

## Interview Theory

### Structured vs. Unstructured Interviews

Employment interviews can be either *structured* or *unstructured*. Generally speaking, structured interviews ensure candidates have equal opportunities to provide information and are assessed consistently.

#### Structured Interview

- All candidates are asked the same questions in the same order.
- All candidates are evaluated using a common rating scale.
- Interviewers are in agreement on acceptable answers.

#### Unstructured Interview

- Candidates may be asked different questions.
- A standardized rating scale is not required.
- Interviewers do not need to agree on acceptable answers.

At first glance, the unstructured interview may appear more attractive due to its loose framework, discretionary content and conversational flow. Yet, these same features make this type of interview very subjective, thus reducing its accuracy and inviting legal challenges.

Research consistently indicates *unstructured* interviews have little value in predicting job performance. Unstructured interviews typically demonstrate:

- Low levels of **reliability** (rating consistency among interviewers).
- Low to moderate levels of **validity** (the extent to which the assessment method measures what it is intended to measure, e.g., job performance).

Besides adversely affecting the reliability and validity of the unstructured interview, the lack of standardization in interview procedure and questions also makes the unstructured interview susceptible to legal challenges (Terpstra, Mohamed, and Kethley 1999<sup>1</sup>; U.S. Merit Systems Protection Board, 2003<sup>2</sup>).

In comparison, *structured* interviews have demonstrated a high degree of reliability, validity, and legal defensibility. Therefore, because interviews used to make employment decisions are subject to the same legal and psychometric requirements as any written employment test or other assessment method, the Idaho National Guard uses structured interviews. The benefits of consistently selecting quality candidates and reducing the risk of legal challenges far outweigh any costs of adding structure (e.g., additional time and expertise).

### **Stress Interviews (Boards)**

Stress Interviews are special types of interviews which are designed to create anxiety and put pressure on applicants to determine how they respond in a stress environment. In a stress interview, interviewers assume aggressive and dominant postures. Military Boards could typically fall under this category. According to subject matter experts, Mathis and Jackson, the Stress Interview is a high-risk approach for an employer. The typical applicant is already somewhat anxious in any interview.<sup>3</sup> The Idaho National Guard Human Resources Office recommends reducing the stress environment to determine the best attributes of a candidate for the Full Time Staff of the National Guard; we conduct interviews, not boards.

### **The Purpose of this Guide**

This guide provides practical information on designing structured interviews. The guide discusses *why* interviews should have structure, *what* structure consists of, and *how* to conduct a structured interview. It also addresses the pros and cons of different types of interview questions and helpful/harmful interviewing techniques. Additionally, the guide provides practical tools for developing and implementing a structured interview. For step-by-step checklists for implementing and developing a structured interview, refer to [Appendix A](#) and [Appendix B](#), respectively.

This guide is not intended to be exhaustive of the possible approaches to developing a structured interview, but to provide one effective method.

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<sup>1</sup> Terpstra, D. E., Mohamed, A. A., & Kethley, R. B. (1999). An analysis of Federal court cases involving nine selection devices. *International Journal of Selection and Assessment*, 7, 26-34.

<sup>2</sup> U. S. Merit Systems Protection Board. (2003). *The federal selection interview: Unrealized potential*. Washington, DC: Office of Policy and Evaluation.

<sup>3</sup> Mathis, R.L. & Jackson J.H. (2006). *Human Resource Management*. Mason, OH, South-Western Thomson Publishing.

# Section I: Developing a Structured Interview

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There are **8 key steps** in *developing* a structured interview. [Appendix B](#) provides a checklist based on these steps.

1. **Conduct a Job Analysis**
2. **Determine the Competencies to be Assessed by the Interview**
3. **Choose the Interview Format and Develop Questions**
4. **Develop Rating Scales to Evaluate Candidates**
5. **Create Interview Probes**
6. **Pilot-Test the Interview Questions**
7. **Create the Interviewer's Guide**
8. **Document the Development Process**

## 1. Conduct a Job Analysis

The purpose of a job analysis is to identify the requirements of the job and the competencies necessary to perform them. In many instances, a new job analysis will not need to be conducted; however, the critical requirements and competencies should be re-confirmed by subject matter experts. A thorough job analysis will:

- Identify the job tasks and responsibilities.
- Identify the competencies required to successfully perform the job tasks and responsibilities.
- Identify which of those competencies are required upon entry to the job.

To gather this information about a job, consider sources such as:

- Performance appraisal critical elements
- Position descriptions
- Classification standards
- Task statements
- Interviews with subject matter experts (e.g., high-performing employees, supervisors)
- Organizational charts

## 2. Determine the Competencies to be Assessed by the Interview

After identifying the critical competencies, determine which will be assessed in the selection process and how each competency will be measured during the interview.

The structured interview is typically used to assess between four and six competencies, unless the job is unique or at a high level. Some competencies (e.g., Oral Communication, Interpersonal Skills) are particularly well-suited to assessment through an interview.

## 3. Choose the Interview Format and Develop Questions

The format of the interview can focus on candidates' past behavior, their anticipated behavior in hypothetical situations, or a combination of the two approaches. **An interview based on questions about past behaviors is a behavioral description interview, also known as a behavioral event interview. An interview based on questions about hypothetical behavior is a situational interview.** In the remainder of this document, "behavioral interview" will refer to both the behavioral description interview and the behavioral event interview.

The interview format will determine how the interview questions are developed. The two interview formats measure different aspects of job performance. Therefore, deciding which format to use depends upon the needs and resources of the agency and on the other assessments used. When creating an interview, you may elect to include questions derived from both the behavioral- and situational-interview formats.

Regardless of the format, the interview questions should be:

- Reflective of competencies derived from a job analysis
- Realistic of the responsibilities of the job
- Open-ended
- Clear and concise
- At a reading level appropriate for the candidates
- Free of jargon

### ***Behavioral Interview Format and Questions***

The primary purpose of the behavioral interview is to gather information from job candidates about their *actual* behavior during *past* experiences which demonstrates competencies required for the job. **The best predictor of future behavior on the job is past behavior under similar circumstances.**

For example, consider the competency, Interpersonal Skills, defined as: “shows understanding, friendliness, courtesy, tact, empathy, concern, and politeness to others; develops and maintains effective relationships with others; may include effectively dealing with individuals who are difficult, hostile, or distressed; relates well to people from varied backgrounds and different situations; is sensitive to cultural diversity, race, gender, disabilities, and other individual differences.” This definition could lead to a behavioral interview question focused on a candidate’s past behavior such as:

Describe a situation in which you dealt with individuals who were difficult, hostile, or distressed. Who was involved? What specific actions did you take and what was the result?

### ***Writing Behavioral Interview Questions***

Convene a group of subject matter experts (SMEs). These SMEs should be experienced, high-performing employees or supervisors who possess knowledge of the job at the level of the position to be filled.

Typically, SMEs are at the Senior NCO level or higher.

- Have SMEs familiarize themselves with the competencies (and their definitions) to be measured by the interview.
- Have SMEs work together to write interview questions.
  - Each question should measure at least one of the specified competencies.
  - Each question should be written to elicit *specific details* about a situation, task, or context, the actions the person took or did not take, and the impact of these actions.
- SMEs should use superlative adjectives in the questions (e.g., most, last, worst, least) to

- help the candidate focus on specific incidents.
- SMEs should develop more questions than are actually needed to allow for subsequent discarding of questions during review and tryout.

### ***Situational Interview Format and Questions***

In contrast to the behavioral interview, the questions in a situational interview are based on future-oriented behavior. Situational interview questions give the candidate realistic job scenarios or dilemmas and ask how he/she *would* respond. The underlying premise is a person's intentions are closely tied to his/her actual behavior.

An example situational interview question for the competency Interpersonal Skills is:

A very angry client walks up to your desk. She says she was told your office sent her an overdue check five days ago. She claims she has not received the check. She says she has bills to pay, and no one will help her. How would you handle this situation?

### ***Writing Situational Interview Questions***

Typically, the *critical incident method*, outlined below, is used to write situational interview questions (Flanagan, 1954)<sup>3</sup>.

- Assemble a group of subject matter experts (SMEs) who have extensive knowledge about the job.
- Have the SMEs review the competencies (and their definitions) to be measured by the interview and the job tasks linked to the competencies.
- Have SMEs write examples of effective and ineffective behaviors (i.e., critical incidents) which reflect the competencies and associated tasks.
- Arrange for a separate group of SMEs to read each critical incident and identify the competency they believe the incident best illustrates.
  - This will confirm whether the critical incidents can be clearly linked to the specific competencies to which they are supposed to be linked.
  - Eliminate critical incidents not clearly linked to a competency and those associated with multiple competencies.
- Have SMEs rewrite the retained critical incidents in the form of hypothetical situations.
  - These hypothetical situations should still demonstrate the correct competency.
  - The hypothetical situations should be as real as possible and reflective of the job.
- As with the behavioral interview, have SMEs develop more questions than are actually needed to allow for future elimination.

[Appendix C](#) provides example forms for writing critical incidents describing effective and ineffective behavior.

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<sup>3</sup> Flanagan, J. C. (1954). The critical incident technique. *Psychological Bulletin*, 51, 327-358.

#### 4. Developing Rating Scales to Evaluate Candidates

The use of a common rating scale for all candidates is a key component of the structured interview procedure. A standardized rating scale can be developed for either behavioral- or situational-interview questions; however, the process is slightly different.

##### *Rating Scale and Behavioral Examples for a Behavioral Interview*

The first step in the development of a standardized rating scale for a behavioral interview is specifying the range of proficiency for each competency.

- Decide on *one* proficiency-level range for all competencies (e.g., a range of 1-5 with 5 being the most proficient and 1 being the least proficient).
- Create at least three proficiency levels, but aim for five to seven levels.
- Label at least three proficiency levels (e.g., unsatisfactory, satisfactory, and superior).

Table 1 provides a 5-level proficiency rating scale example. Labels are provided for each of the five levels.

*Table 1: Rating Scale*

<b>Proficiency Level</b>	<b>General Competencies</b>	<b>Technical Competencies</b>
Level 5 - Expert	<ul style="list-style-type: none"> <li>• Applies the competency in exceptionally difficult situations.</li> <li>• Serves as a key resource and advises others.</li> </ul>	<ul style="list-style-type: none"> <li>• Applies the competency in exceptionally difficult situations.</li> <li>• Serves as a key resource and advises others.</li> <li>• Demonstrates comprehensive, expert understanding of concepts and processes.</li> </ul>
Level 4 - Advanced	<ul style="list-style-type: none"> <li>• Applies the competency in considerably difficult situations.</li> <li>• Generally requires little or no guidance.</li> </ul>	<ul style="list-style-type: none"> <li>• Applies the competency in considerably difficult situations.</li> <li>• Generally requires little or no guidance.</li> <li>• Demonstrates broad understanding of concepts and processes.</li> </ul>
Level 3 - Intermediate	<ul style="list-style-type: none"> <li>• Applies the competency in difficult situations.</li> <li>• Requires occasional guidance.</li> </ul>	<ul style="list-style-type: none"> <li>• Applies the competency in difficult situations.</li> <li>• Requires occasional guidance.</li> <li>• Demonstrates understanding of concepts and processes.</li> </ul>
Level 2 - Basic	<ul style="list-style-type: none"> <li>• Applies the competency in somewhat difficult situations.</li> <li>• Requires frequent guidance.</li> </ul>	<ul style="list-style-type: none"> <li>• Applies the competency in somewhat difficult situations.</li> <li>• Requires frequent guidance.</li> <li>• Demonstrates familiarity with concepts and processes.</li> </ul>
Level 1 - Awareness	<ul style="list-style-type: none"> <li>• Applies the competency in the simplest situations.</li> <li>• Requires close and extensive guidance.</li> </ul>	<ul style="list-style-type: none"> <li>• Applies the competency in the simplest situations.</li> <li>• Requires close and extensive guidance.</li> <li>• Demonstrates awareness of concepts and processes.</li> </ul>

For a behavioral interview, develop example behaviors for *each proficiency level of each competency*. The purpose of these example behaviors is to clearly differentiate between proficiency levels for each competency. This will ease the rating process by giving interviewers concrete behaviors to refer to as they are considering how proficient each candidate is on each competency. The example behaviors will provide a common framework for assessing candidates' responses in a consistent manner.

Subject matter experts (SMEs) should assist in developing the behavioral examples for each behavioral interview question.

- Reconvene the panel of SMEs who developed the behavioral interview questions.
- For each question, have SMEs individually determine how actual employees at each proficiency level would respond (i.e., what their answers would be).
  - These hypothetical responses are behavioral examples for the proficiency levels.
- Have the SMEs discuss their behavioral examples.
- For each proficiency level, retain behavioral examples which the SMEs agree best reflect the competency at that level.
- Instruct interviewers to use these behavioral examples as a *general guide* (not an absolute) in making their ratings, as candidate's responses may differ depending on their unique experiences (Feild and Gatewood, 1989)<sup>4</sup>.

Table 2 presents an example of a behavioral interview rating scale for a question based on the competency Interpersonal Skills. This rating scale has been supplemented with behavioral examples to illustrate differences between the proficiency levels.

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<sup>4</sup> Feild, H. S., & Gatewood, R. D. (1989). Development of a selection interview: A job content strategy. In Eder, R. W. & Ferris, G. R. (Eds.), *The employment interview: Theory, research, and practice* (pp. 145-157). Newbury Park, California: Sage Publications.

*Table 2: Example of a Behavioral Interview Question and Rating Scale*

<p><b>Competency: Interpersonal Skills</b>  <b>Definition:</b> Shows understanding, friendliness, courtesy, tact, empathy, concern, and politeness to others; develops and maintains effective relationships with others; may include effectively dealing with individuals who are difficult, hostile, or distressed; relates well to people from varied backgrounds and different situations; is sensitive to cultural diversity, race, gender, disabilities, and other individual differences.</p>		
<p><b>Question: Describe a situation in which you had to deal with individuals who were difficult, hostile, or distressed. Who was involved? What specific actions did you take and what was the result?</b></p>		
Proficiency Level	Definition	Question-Specific Behavioral Examples
<p><b>Level 5 Expert</b></p>	<ul style="list-style-type: none"> <li>Applies the competency in exceptionally difficult situations.</li> <li>Serves as a key resource and advises others.</li> </ul>	<ul style="list-style-type: none"> <li>Presents shortcomings of a newly installed HR automation system in a tactful manner to irate senior management officials.</li> <li>Explains the benefits of controversial policy changes to a group of upset individuals at a public hearing.</li> <li>Diffuses an emotionally charged meeting with external stakeholders by expressing empathy for their concerns.</li> </ul>
<p><b>Level 4 Advanced</b></p>	<ul style="list-style-type: none"> <li>Applies the competency in considerably difficult situations.</li> <li>Generally requires little or no guidance.</li> </ul>	<ul style="list-style-type: none"> <li>Facilitates an open forum to discuss employee concerns about a new compensation system.</li> <li>Builds on the ideas of others to foster cooperation during bargaining agreement negotiations.</li> <li>Identifies and emphasizes common goals to promote cooperation between HR and line staff.</li> <li>Identifies and alleviates sources of stress among a team developing a new automated HR system.</li> </ul>
<p><b>Level 3 Intermediate</b></p>	<ul style="list-style-type: none"> <li>Applies the competency in difficult situations.</li> <li>Requires occasional guidance.</li> </ul>	<ul style="list-style-type: none"> <li>Restores a working relationship between angry co-workers who have opposing views.</li> <li>Remains courteous and tactful when confronted by an employee who is frustrated by a payroll problem.</li> <li>Establishes cooperative working relationships with managers, so they are comfortable asking for advice on HR issues.</li> </ul>
<p><b>Level 2 Basic</b></p>	<ul style="list-style-type: none"> <li>Applies the competency in somewhat difficult situations.</li> <li>Requires frequent guidance.</li> </ul>	<ul style="list-style-type: none"> <li>Offers to assist employees in resolving problems with their benefits election.</li> <li>Works with other HR staff on a cross-functional team to improve coordination of activities.</li> <li>Works with others to minimize disruptions to an employee working under tight deadlines.</li> </ul>
<p><b>Level 1 Awareness</b></p>	<ul style="list-style-type: none"> <li>Applies the competency in the simplest situations.</li> <li>Requires close and extensive guidance.</li> </ul>	<ul style="list-style-type: none"> <li>Refers employees to the appropriate staff member to resolve their issues.</li> <li>Works with others in the HR office to organize information for employee intervention sessions on controversial issues.</li> <li>Works with others to obtain employee concerns about controversial policy changes.</li> </ul>

### ***Rating Scale and Behavioral Responses for a Situational Interview***

As with behavioral interview questions, the first step in the development of a rating scale for each situational interview question is specifying the range of proficiency for each competency being assessed.

- Decide on *one* proficiency-level range for all competencies.
- Have at least three proficiency levels, but aim for five to seven levels.
- Provide labels for at least three proficiency levels (e.g., unsatisfactory, satisfactory, and superior).

Next, develop a representative response for each competency proficiency-level for each hypothetical job-scenario question. A representative response illustrates how someone with the given proficiency level on the given competency might behave. To develop the representative responses for proficiency levels of each situational interview question, follow these steps:

- Reconvene the panel of subject matter experts (SMEs) who developed the interview questions.
- For each hypothetical scenario, have each SME individually determine how actual employees at each proficiency level might behave (i.e., what their answers would be).
  - These answers are representative responses for the proficiency-level ratings.
- Have the SMEs discuss their representative responses.
- For each proficiency level, retain the representative responses which the SMEs agree are the best.

Table 3 shows an example proficiency-level rating scale for a situational interview question with representative responses for each proficiency level. The situational interview question is derived from a job task and reflects a particular competency. This linkage needs to be present for all questions.

Table 3: Example of a Situational Interview Question and Rating Scale

Job Task	Competency	Interview Question	Proficiency Level & Representative Response
<p><i>Performs investigative work to obtain information, gather evidence, or verify facts.</i></p>	<p><i>Integrity/ Honesty:</i> Contributes to maintaining the integrity of the organization; displays high standards of ethical conduct and understands the impact violating these standards would have on an organization, self, and others; is trustworthy.</p>	<p>You are investigating a group of auto dealership managers suspected of money-laundering activities. During the course of an interview with one suspect, the suspect offers to help you buy a car at a price you know is well below market value. What would you do?</p>	<p><i>Unsatisfactory:</i> Accept the offer.</p> <p><i>Satisfactory:</i> Say no to the offer and continue the investigation; document the incident in your report.</p> <p><i>Superior:</i> Probe the dealership managers to determine how they are able to offer a car at such a reduced price; attempt to get contact information of others involved; say no to the offer; and document the details of the incident.</p>

### 5. Create Interview Probes

A probe is a question asked by the interviewer to help clarify a candidate’s response or ensure the candidate has provided enough information. When probes are necessary, interviewers should use very similar probes for all candidates to ensure candidates are given the same opportunities to excel. While probes may need to be tailored to address each candidate’s specific response, the general meaning of the probes should not change.

- Prior to the interview, establish the desired range of probing (for example, no probes, a limited number of probes, unlimited probes).
- If probes will be used, determine the specific probes for each question the interviewer is allowed to use.

Example probes for behavioral- and situational-interview questions are presented in Table 4.

Table 4: Example Probes for Behavioral- and Situational-Interview Questions

<b>Competency: Interpersonal Skills</b>	
<p><b>Behavioral Interview Question:</b> Describe a situation in which you had to deal with individuals who were difficult, hostile, or distressed. Who was involved? What specific actions did you take and what was the result?</p>	<p><b>Behavioral Interview Probes:</b></p> <p><b>Situation</b></p> <ul style="list-style-type: none"> <li>• What factors led up to the situation?</li> <li>• Could you or anyone else have done something to prevent the situation?</li> <li>• What did you determine as the most critical issue to address in this situation?</li> </ul> <p><b>Action</b></p> <ul style="list-style-type: none"> <li>• How did you respond?</li> <li>• What was the most important factor you considered in taking action?</li> <li>• What is the first thing you did?</li> </ul> <p><b>Outcome</b></p> <ul style="list-style-type: none"> <li>• What was the outcome?</li> <li>• Is there anything you would have said and/or done differently?</li> <li>• Were there any benefits from the situation?</li> </ul>
<p><b>Situational Interview Question:</b> A very angry client walks up to your desk. She says she was told your office sent her an overdue check five days ago. She claims she has not received the check. She says she has bills to pay, and no one will help her. How would you handle this situation?</p>	<p><b>Situational Interview Probes:</b></p> <p><b>Situation</b></p> <ul style="list-style-type: none"> <li>• Why do you believe this situation occurred?</li> <li>• What do you consider the most critical issue in this situation?</li> <li>• What other issues are of concern?</li> </ul> <p><b>Action</b></p> <ul style="list-style-type: none"> <li>• What would you say?</li> <li>• What is the first thing you would do?</li> <li>• What factors would affect your course of action?</li> <li>• What other actions could you take?</li> </ul> <p><b>Outcome</b></p> <ul style="list-style-type: none"> <li>• How do you think your action would be received?</li> <li>• What would you do if your action was not received well?</li> <li>• What do you consider as benefits of your action?</li> </ul>

## **6. Pilot Test the Interview Questions and Evaluate the Interview Process**

Prior to using the newly developed behavioral interview and/or situational interview questions in an actual interview, give the questions to colleagues for a trial run. This trial run (i.e., pilot test) will ensure questions are clearly worded and draw an appropriate range of responses. The pilot test will indicate if and where revisions need to be made. To the extent possible, the pilot test should mirror the actual structured interview.

## **7. Create the guidance for Interviewer**

After finalizing the questions and rating scales, create guidance for the interview team. The guidance should also provide information specific to the particular interview, including:

- Definitions of each competency being assessed
- Proficiency levels of each competency
- Interview questions
- Rating scale (with behavioral examples and/or representative responses) for each question
- Example probes

## **8. Document the Development Process**

You should maintain records of the entire interview development process. The documentation should include:

- Descriptions of all participants, including subject matter experts and those in the pilot study.
- Interview development materials (e.g., reference materials, previous manuals).
- A description of the development of the interview, including the job analysis, the question and rating scale development process, and the pilot test.

## Section II: Administering a Structured Interview

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### **Interviewers**

Structured interviews are administered by a *panel* of interviewers. A typical panel consists of three or more persons who have extensive knowledge of the job and are trained in administering interviews.

Interviewers need to be aware that they are conducting an interview, NOT a “**military board**”.

For information on using a panel to conduct the structured interview, please refer to [Appendix D](#).

It is essential to train the person who will administer the structured interview. Interviewer training increases the accuracy of the interview.

### **Note-Taking**

Taking regular and detailed notes of observable behaviors and verbal responses during each interview is crucial. Notes will reduce the burden on the interviewer to remember details about multiple candidates. Additionally, these notes should:

- Summarize the content and delivery of respondents’ answers.
- Document the candidate’s grammar, body language, and other non-verbal factors.
- Help interviewers focus on pertinent information during the interview.
- Be of sufficient quality and quantity to document the interviewer’s reasoning for each rating on each competency.
- Serve as documentation to support the employment decision.

### **Interviewer’s Non-Verbal Behavior**

An interviewer’s body language such as facial expressions and body movements (e.g., nodding, raising eyebrows, frowning) communicates a lot to the candidate. For example, **the interviewer** communicates disinterest to the applicant by slouching, regularly looking at the clock, leaning back, or doodling with a pen.

Interviewers need to be aware of their body language to avoid communicating negative impressions. Additionally, while taking notes, interviewers should make periodic eye contact with the candidate to show their interest and to provide opportunities to observe the candidate’s non-verbal behavior.

### **Interpersonal Bias and Rating Errors**

Bias and rating errors are inconsistent with the purpose of the structured interview process, namely, ensuring candidates are evaluated fairly, consistently, and have equal opportunities to excel. The interviewer should not be influenced by personal biases or fall prey to common rating errors.

*Biases* can take a variety of forms. For example, an interviewer might give higher ratings to candidates who appear outwardly similar to him/her. *Rating errors* might include giving all high ratings or all low ratings to candidates. [Appendix E](#) describes common rating errors and interviewing mistakes.

## **The Interview Setting**

The interview should take place in a comfortable environment.

- Interviews should be held in a quiet, non-threatening, and private place.
- Seating arrangements should be the same for all candidates.
- The interview room and facilities must be accessible to candidates with disabilities.
- There should be a separate area for those waiting to be interviewed.
- Individuals who have been interviewed should not be allowed to communicate with those waiting to be interviewed.
- Interviews should be scheduled far enough in advance to provide adequate preparation time for the interviewer.
- All candidates should be allotted the same amount of interview time.

## **Conducting the Interview**

### ***Supplemental Materials***

While candidates may be permitted to bring supplemental documents to the interview (e.g., references, transcripts, or a resume), this information is for the *candidate's reference only* and should not be looked at by the interviewer during the interview. Allowing interviewers to look at these documents during the interview may bias the interviewer's perceptions of the candidates (e.g., interviewers might rate the responses of candidates with strong resumes more favorably than those of candidates with weak resumes). If interviewers look at supplemental information during the interview and this supplemental information is not provided by all candidates, candidates may be evaluated inconsistently.

### ***Arrival of the Candidate***

- Welcome the candidate in a warm and friendly manner.
- Thank the candidate for his/her interest in the position and for coming to the interview.
- Briefly describe the job and relevant organizational characteristics to allow candidates to become comfortable in the interview setting.
- Explain the interview process in a standardized way. You may also provide this information in writing to each candidate.
- Inform the candidate that notes will be taken throughout the interview.
- Ask the candidate if he/she has any questions before beginning.

At the end of the interview, the interviewer should ask, "Is there anything else you would like us to know?" and provide the candidate with an opportunity to ask questions. The interviewer should then thank and excuse the candidate.

### ***Rating Each Candidate***

Immediately after the candidate leaves the room, the interviewer should review his or her notes and, if the interview is being rated, rate the candidate. Notes should include actual behavioral examples and ratings should be defensible and supported by the notes. Examples of actual answers given should be included along with explanations of how these answers apply to the competency being rated and why they merit the given rating. Examples of rating forms for use by one interviewer or a panel of interviewers can be found in [Appendix F](#) and [Appendix G](#), respectively.

After all candidates have been rated, the interviewer should:

- Review the ratings given to each candidate.
- Ensure the total performance of each candidate has been considered thoroughly and objectively.
- Ensure the ratings are tied to specific behavioral examples.
- Sign and date each rating form.

### **Documenting the Interview Process**

In addition to the documentation mentioned above, the following information should be recorded and retained:

- Date, time, place, and length of the interview
- Name, job title, race, national origin, and sex of the interviewer
- Interview questions, scores, and notes for each candidate
- Training provided to the interviewer
- Interview guides, rating scales, and other materials used

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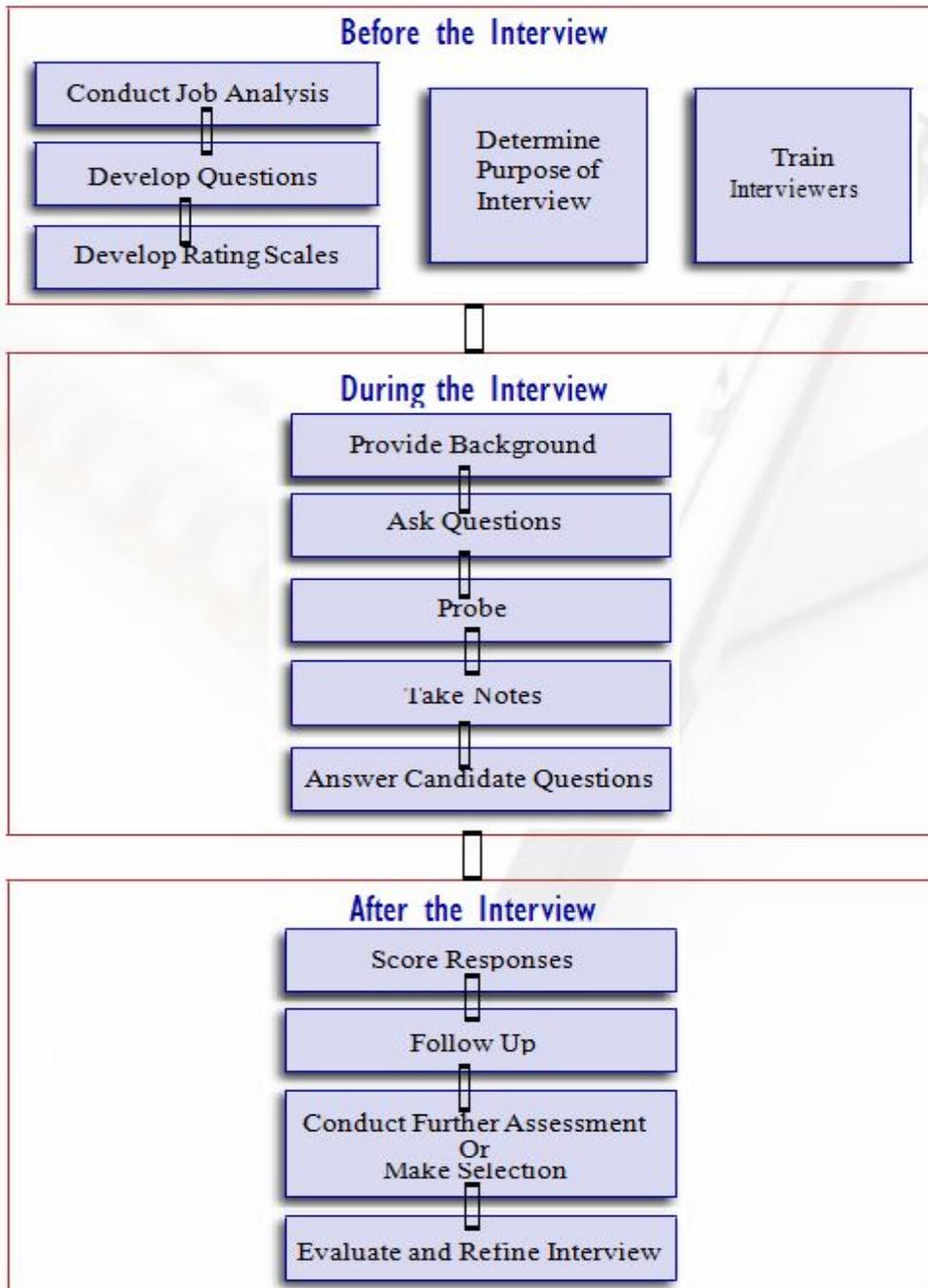
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# Appendix A: Structured Interview Process & Implementation Checklist

## A Model Structured Interview Process



Source: U. S. Merit Systems Protection Board, Washington D.C.

- D **Assess the Current Selection Situation.** Discuss the need for developing a structured interview and the specific goals for the structured interview.
- D **Create a Development and Implementation Plan with Timelines.** Plan the major steps for developing the structured interview, including updating or conducting a job analysis, convening subject matter experts to develop the interview questions and rating scale, and training interviewers on how to evaluate candidates.
- D **Ensure Compliance of the Plan with Established Guidelines.** Make sure the structured interview process complies with the requirements in [The Uniform Guidelines on Employee Selection Procedures](#) and the OPM [Delegated Examining Operations Handbook](#).
- D **Create a Communication Plan and Obtain Commitment to the Plan.** Ensure managers are aware of the intent of the structured interview.
- D **Establish Structured Interview Development Team(s).** Identify the development and implementation team, which may include human resources specialists, selecting officials, supervisors, and/or employees.
- D **Develop the Structured Interview.** (See [Appendix B: Structured Interview Development Checklist](#))
- D **Administer the Structured Interview.**
- D **Evaluate the Results.** Monitor the implementation of the structured interview on a periodic basis to ensure the plan is followed and the intended results are achieved. Adjust the structured interview procedure as necessary.

## Appendix B: Structured Interview Development Checklist

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- D **1. Conduct a Job Analysis.** Identify the job characteristics (i.e., job tasks, duties, and responsibilities) and the competencies/knowledge, skills, abilities required to perform the job successfully.
- D **2. Determine the Competencies to be Assessed by the Interview.** Consider which competencies are measured most effectively with an interview.
- D **3. Choose the Interview Format and Develop Questions.** Determine if you will use a behavioral interview or situational interview, or combination of both. Work with subject matter experts to develop questions.
- D **4. Develop Rating Scales to Evaluate Candidates.** Determine the proficiency scale and develop accompanying proficiency level examples.
- D **5. Create Interview Probes.** Draft specific probes for each question.
- D **6. Pilot-Test the Interview Questions.** Pilot test the interview questions on persons similar to the anticipated candidates. Check for clarity and appropriateness.
- D **7. Document the Development Process.** Document all stages of the interview development.

# Appendix C: Common Structured Interview Questions

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Typically a wide variety of questions can be used to gain information about a candidate's competencies. Use these questions as guides to help you develop questions that target specific job requirements.

- 1. Describe a time when you were faced with problems or stresses at work that tested your coping skills. What did you do?*
- 2. Give an example of a time when you could not participate in a discussion or could not finish a task because you did not have enough information. What did you do?*
- 3. Give an example of a time when you had to be relatively quick in coming to a decision. How did you go about making the decision?*
- 4. Tell me about a time when you had to use your spoken communication skills in order to get a point across that was important to you. How did you make your point? What technique or skill worked for you?*
- 5. Can you tell me about a job experience in which you had to speak up and tell other people what you thought or felt? What was the result of your action?*
- 6. Give me an example of a time when you felt you were able to build motivation in your co-workers or subordinates. How did you motivate them? What were the results?*
- 7. Tell me about a specific occasion when you conformed to a policy even though you did not agree with it. What was the result? How did you feel?*
- 8. Describe the worst customer or co-worker you have ever had and tell me how you dealt with him or her.*
- 9. Give me an example of a time when you used your fact-finding skills to gain information needed to solve a problem and then tell me how you analyzed the information and came to a decision. What was the result or what happened?*
- 10. Give me an example of an important goal you had set and tell me about your progress in reaching that goal. How did you go about setting the goal?*
- 11. Describe the most significant written document, report, or presentation that you've completed. What was the process you used to write this document? What was the impact or result of your efforts?*

12. *Give me an example of a time when you had to go above and beyond the call of duty in order to get a job done. What caused the situation that required this extraordinary effort? How did you feel about all this extra effort?*
13. *Give me an example of a time when you were able to communicate successfully with another person, even when that individual may not have personally liked you. What was the result of your communication?*
14. *Describe a situation in which you were able to read another person effectively and guide your actions by your understanding of his/her individual needs or values. What happened?*
15. *What did you do in your last job in order to be effective with your organization and planning? Be specific. How did you deal with competing priorities?*
16. *Describe the most creative work-related project you have completed.*
17. *Tell me about a time when you made a suggestion to improve the quality and efficiency of the work done in your unit.*
18. *Give me an example of a time when you had to analyze another person or situation carefully in order to be effective in guiding your action or decision. How did you decide what action or decision to take?*
19. *What did you do in your last job to contribute toward a teamwork environment? Be specific. What were the results of this teamwork?*
20. *Give me an example of a problem you faced on the job and tell me how you solved it.*
21. *Describe a situation in which you were able to positively influence the actions of others in a desired direction. How did this affect others in that environment?*
22. *Tell me about a situation in the past year in which you had to deal with a very upset customer or co-worker. How did you handle the situation? What was the result?*
23. *Describe a situation in which others within your organization depended on you. What would they tell me about this situation?*
24. *Describe your most recent group effort. What was your role? How would you change the group to be more effective?*

## Appendix D: Sample Incident Question Forms

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Use of an "Effective Incident" For Structured Question
<b>Job Title:</b>
<b>Competency:</b>
<b>Instructions:</b> Think of an incident during the past year in which you were particularly proud of your performance, or the performance of a coworker, and share it with us. The incident must be related to performance on the job. The incident may have involved people, facilities, information, or another item relevant to performance on the job.  Recalling this incident, please answer the following questions:
1. What circumstances led to the incident? (Situation)
2. What did you or your co-worker do that was very effective at the time? (Action)
3. Why was this incident very helpful in getting the job done? (Outcome)

**Use of an "Ineffective Incident" For Structured Question**

**Job Title:**

**Competency:**

**Instructions:**

Think back over the past year and describe an incident that should have been handled differently. The incident must be related to your performance or the performance of a coworker on the job. The incident may have involved people, facilities, information, or another item relevant to performance on the job.

Recalling the incident, please answer the following questions:

1. What circumstances led to the incident? (Situation)

2. What did you or your co-worker do that was ineffective at that time? (Action)

3. What were the effects of the actions? (Outcome)

4. What should have been done differently?

## Appendix E: Panel Interviews

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During the interview process, an abundance of information is exchanged between the candidate and the interviewer. A panel of three interviewers is better able to document and interpret the information. A panel also reduces the risk of biases in ratings and allows for a diverse (e.g., race and sex) range of interviewers, indicating to the candidate that our organization values diversity and fair treatment.

Interviewers may conduct the interview together at one time or individually in a serial fashion in which the candidate progresses through multiple interview questions. The same interviewers will be used across all candidates, to ensure consistency in ratings.

In a panel interview, each panel member should individually observe, record, and evaluate the responses of the candidates. After each candidate, panel members should discuss their individual ratings. Final scores or ratings should be based on the consensus of the panel. This process is described in more detail below.

Although the interview panel works as a team, one panel member will be designated as the President, and is responsible for ensuring the interview process is followed as described in this handbook.

### **Conducting a Panel Interview**

Before the candidate enters the interview room, the panel coordinator should verify all panel members understand the procedures to be followed and have all necessary materials. The interview process should be described in detail.

Upon each candidate's arrival, the panel coordinator should:

- Welcome the candidate and introduce each panel member.
- Thank the candidate for his/her interest in the position and for coming to the interview.
- Briefly describe the job and relevant organizational characteristics as to allow candidates to become comfortable in the interview setting.
- Explain the interview process in a standardized way. This explanation may also be provided to applicants in writing.
- Inform the candidate that notes will be taken throughout the interview.
- Ask if the candidate has any questions before beginning.

At the end of the interview, the coordinator should thank the candidate, answer any general questions, and excuse the candidate.

### ***Making Candidate Ratings***

Each panel member should **independently** review his/her notes immediately after the candidate leaves the room and rate the candidate. At this stage, each panelist is forming an independent evaluation without discussion with other panel members. Ratings should be specific, defensible, and supported by behavioral examples. Interviewers should include actual examples of answers given, explanations of how these answers apply to the competency being rated, and why they merit the given rating.

After panel members have independently rated all candidates, they should compare notes, ratings, and supporting observations. Panel members should thoroughly explore the basis for discrepancies in their ratings, and then reach a consensus on each candidate. Statements made by the candidate should be recorded to support specific ratings. Panelists should record the consensus rating for each candidate on a group rating form. [Appendix H](#) provides the Idaho National Guard's rating form.

After the last candidate has been rated, panelists should review the group ratings given to all candidates. This exchange will ensure the performance of each candidate has been considered thoroughly and objectively. This also ensures the final ratings represent the consensus judgment of the panel. After all ratings have been meticulously reviewed, they should be declared final and each member should attest to the final ratings by signing the group rating form.

# Appendix F: Common Rating Errors and Interviewing Mistakes

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## Common Rating Errors

One way to minimize rating errors is to make interviewers aware of the most common types of error, which are summarized below.

1. **Rater Bias:** Allowing prejudices about certain groups of people or personalities to interfere with being able to fairly evaluate a candidate's performance. Interviewers should refrain from considering any non-performance related factors when making judgments.
2. **Halo Effect:** Allowing ratings of performance in one competency to influence ratings for other competencies. For example, allowing a high rating on Oral Communication to bias the rating on Problem Solving, irrespective of the candidate's performance on Problem Solving.
3. **Central Tendency:** A tendency to rate all competencies at the middle of the rating scale (for example, giving all "3s" on a 5-point scale). When hesitating over making a high rating, interviewers should realize such a rating does not indicate perfect performance; it means **demonstrating more of the competency** than is generally exhibited. Similarly, when hesitating over a low rating, interviewers should realize it does not mean the candidate does not possess the competency; it means **he/she did not demonstrate much of the competency** in his/her interview responses.
4. **Leniency:** A tendency to give high ratings to all candidates, irrespective of their actual performance. There may be candidates who could benefit from further development in certain areas. Interviewers should allow their ratings to reflect these intra- and inter-individual differences.
5. **Strictness:** A tendency to give low ratings to all candidates, irrespective of their actual performance. There may be outstanding candidates whose demonstration of competencies warrants high ratings. Interviewers should allow their ratings to reflect these intra- and inter-individual differences.
6. **Similar to Me:** Giving higher than deserved ratings to candidates who appear similar to you. People have a natural tendency to prefer others who are similar in various ways to themselves. Interviewers should concentrate on the responses given by the candidate in making evaluations, rather than on the outward characteristics and personality of the candidate.

Interviewers can minimize these rating errors by thoroughly understanding the competencies being assessed and by learning to compare the behaviors exhibited in the interview with the behaviors anchoring the proficiency-level ratings for each competency.

## Common Interviewing Mistakes

1. **Relying on First Impressions:** Interviewers tend to make rapid decisions about the qualifications of a candidate within the first few minutes of the interview based on minimal information. Interviewers should reserve their judgment until sufficient information on the candidate has been gathered.
2. **Negative Emphasis:** Unfavorable information tends to be more influential and memorable than favorable information. Interviewers should avoid focusing on negative information to the exclusion of positive information.
3. **Not Knowing the Job:** Interviewers who do not have a comprehensive understanding of the skills needed for the job often form their own opinion about what constitutes the best candidate. They use this personal impression to evaluate candidates. Therefore, it is important to make sure interviewers fully understand the requirements of the job.
4. **Pressure to Hire:** When interviewers believe they need to make a decision quickly, they tend to make decisions based on a limited sample of information, or on a small number of candidate interviews. Interviewers should adhere to the established interview procedure and timeline with each candidate to avoid making erroneous decisions.
5. **Contrast Effects:** The order in which the candidates are interviewed can affect the ratings given to candidates. While making ratings, interviewers should refrain from comparing and contrasting candidates to those who have been previously interviewed.
6. **Nonverbal Behavior:** Interviewers should base their evaluation of the candidate on the candidate's past performance and current behavior as it relates to the competency being evaluated and *not* just on how the candidate acts during the interview. Questions and probes relating to the competencies of interest will usually direct the interviewer to the important information.

# Appendix G: Sample Structured Interview Individual Rating Form

## GENERAL COMPETENCIES:

The proficiency-level behavioral examples illustrate the types of behavior associated with each proficiency level, across the full range of a job – in this case Human Resources. They are only examples, and candidates may demonstrate proficiency through behaviors not listed.

**Competency Writing:** Recognizes or uses correct English grammar, punctuation, and spelling; communicates information (e.g., facts, ideas, or messages) in a succinct and organized manner; produces written information, which may include technical material that is appropriate for the intended audience.

Proficiency Rating (choose only one)	Proficiency Level Definition	Proficiency Level Behavioral Examples for Typical HR Positions
<input type="checkbox"/> 1	The candidate can apply the competency in the simplest situations. The candidate requires close and extensive guidance.	<ul style="list-style-type: none"> <li>• Accurately copies information from one source to another.</li> <li>• Composes basic memos and emails.</li> <li>• Completes standard forms such as training forms and travel orders.</li> </ul>
<input type="checkbox"/> 2	The candidate can apply the competency in somewhat difficult situations. The candidate will require frequent guidance.	<ul style="list-style-type: none"> <li>• Assists in developing training materials for managers and employees.</li> <li>• Writes responses to non-selected job applicants.</li> <li>• Writes congratulatory letter to award recipients.</li> </ul>
<input type="checkbox"/> 3	The candidate can apply the competency in difficult situations. The candidate may require occasional guidance.	<ul style="list-style-type: none"> <li>• Proofreads internal memos for format and grammatical, spelling, and typographical errors.</li> <li>• Prepares informational material to communicate a new leave policy to employees.</li> <li>• Prepares a flowchart of the organization’s hiring process.</li> <li>• Develops recruitment materials for a job fair.</li> </ul>
<input type="checkbox"/> 4	The candidate can apply the competency in considerably difficult situations. The candidate requires no guidance.	<ul style="list-style-type: none"> <li>• Writes a handbook for employees to describe HR procedures.</li> <li>• Prepares correspondence on a sensitive discipline case.</li> <li>• Prepares a position paper to defend a controversial HR program.</li> <li>• Prepares organization’s written comments on proposed classification standards.</li> </ul>
<input type="checkbox"/> 5	The candidate can apply the competency in exceptionally difficult situations. The candidate has served as a key resource and advised others.	<ul style="list-style-type: none"> <li>• Writes the organization’s strategic human capital plan.</li> <li>• Authors an article about the organization’s innovative HR practices.</li> <li>• Develops legislative proposals to resolve critical HR issues affecting the organization’s ability to achieve its mission.</li> </ul>

**Oral Communication:** Expresses information (e.g., ideas or facts) to individuals or groups effectively, taking into account the audience and nature of the information (e.g., technical, sensitive, controversial); makes clear and convincing oral presentations; listens to others, attends to nonverbal cues, and responds appropriately.

<b><u>Proficiency Rating (choose only one)</u></b>	<b><u>Proficiency Level Definition</u></b>	<b><u>Proficiency Level Behavioral Examples for Typical HR Positions</u></b>
<input type="checkbox"/> 1	The candidate can apply the competency in the simplest situations. The candidate requires close and extensive guidance.	<ul style="list-style-type: none"> <li>• Explains procedures for changing a beneficiary.</li> <li>• Refers prospective applicants to organization’s website.</li> <li>• Responds to customer inquiries about pay schedules.</li> </ul>
<input type="checkbox"/> 2	The candidate can apply the competency in somewhat difficult situations. The candidate will require frequent guidance.	<ul style="list-style-type: none"> <li>• Reports on project status during weekly team meetings.</li> <li>• Explains special pay rate eligibility criteria to employees.</li> <li>• Presents information about flexible work schedules at new employee orientation.</li> <li>• Conducts exit interviews.</li> </ul>
<input type="checkbox"/> 3	The candidate can apply the competency in difficult situations. The candidate may require occasional guidance.	<ul style="list-style-type: none"> <li>• Describes the organization’s employee assistance program to groups within the HR community.</li> <li>• Presents a summary of new regulations affecting the organization’s mission at a staff meeting.</li> <li>• Responds to position classification inquiries from managers who are posting vacancies.</li> <li>• Describes new HR services to managers.</li> </ul>
<input type="checkbox"/> 4	The candidate can apply the competency in considerably difficult situations. The candidate requires no guidance.	<ul style="list-style-type: none"> <li>• Facilitates focus groups to elicit feedback on proposed performance management system.</li> <li>• Presents controversial decisions about organizational restructuring to employee groups.</li> <li>• Explains complicated new pay regulations to a lay group.</li> <li>• Explains to recruiters the impact of a legal decision on application procedures.</li> </ul>
<input type="checkbox"/> 5	The candidate can apply the competency in exceptionally difficult situations. The candidate has served as a key resource and advised others.	<ul style="list-style-type: none"> <li>• Presents controversial workforce diversity findings and recommendations to management.</li> <li>• Testifies about the organization’s selection procedures at administrative proceedings.</li> <li>• Informs management of their misinterpretation of the Americans with Disabilities Act and recommends corrective action.</li> </ul>

**Problem Solving:** Identifies problems; determines accuracy and relevance of information; uses sound judgment to generate and evaluate alternatives, and to make recommendations.

<b><u>Proficiency Rating (choose only one)</u></b>	<b><u>Proficiency Level Definition</u></b>	<b><u>Proficiency Level Behavioral Examples for Typical HR Positions</u></b>
<input type="checkbox"/> 1	The candidate can apply the competency in the simplest situations. The candidate requires close and extensive guidance.	<ul style="list-style-type: none"> <li>• Corrects simple problems with Health Benefits Election forms.</li> <li>• Identifies missing training forms from personnel files.</li> <li>• Reviews information justifying employee award nominations for completeness.</li> </ul>
<input type="checkbox"/> 2	The candidate can apply the competency in somewhat difficult situations. The candidate will require frequent guidance.	<ul style="list-style-type: none"> <li>• Determines the appropriate changes to employees' official personnel folders in cases of marriage or divorce.</li> <li>• Recommends options for an employee who has no accrued annual or sick leave and is adopting a child.</li> <li>• Suggests review process for vacancy announcements to improve accuracy and clarity.</li> </ul>
<input type="checkbox"/> 3	The candidate can apply the competency in difficult situations. The candidate may require occasional guidance.	<ul style="list-style-type: none"> <li>• Resolves classification issues by researching precedent-setting case decisions.</li> <li>• Analyzes relevant information to identify barriers preventing participation in a mentoring program.</li> <li>• Applies pay rules and regulations to resolve a pay-setting dispute for a new employee.</li> </ul>
<input type="checkbox"/> 4	The candidate can apply the competency in considerably difficult situations. The candidate requires no guidance.	<ul style="list-style-type: none"> <li>• Integrates a variety of strategic hiring flexibilities to address recruitment and retention problems.</li> <li>• Identifies the immediate training needs of employees to address customer complaints.</li> <li>• Resolves union concerns about inconsistent performance ratings across the organization by implementing mandatory supervisory training.</li> </ul>
<input type="checkbox"/> 5	The candidate can apply the competency in exceptionally difficult situations. The candidate has served as a key resource and advised others.	<ul style="list-style-type: none"> <li>• Analyzes and solves complex labor-management disagreements involving vague and untested areas of case law regarding working conditions.</li> <li>• Resolves logistical problems associated with hiring several thousand employees to meet a temporary staffing need.</li> <li>• Resolves projected shortages in critical occupations by developing a comprehensive recruitment program to include outreach, mentoring, internships, and financial incentives.</li> </ul>

**Interpersonal Skills:** Shows understanding, friendliness, courtesy, tact, empathy, concern, and politeness to others; develops and maintains effective relationships with others; may include effectively dealing with individuals who are difficult, hostile, or distressed; relates well to people from varied backgrounds and different situations; is sensitive to cultural diversity, race, gender, disabilities, and other individual differences.

<u>Proficiency Rating (choose only one)</u>	<u>Proficiency Level Definition</u>	<u>Proficiency Level Behavioral Examples for Typical HR Positions</u>
<input type="checkbox"/> 1	The candidate can apply the competency in the simplest situations. The candidate requires close and extensive guidance.	<ul style="list-style-type: none"> <li>• Greets job applicants when they arrive for interviews.</li> <li>• Works with others in the HR office to organize information materials for employee orientation sessions.</li> </ul>
<input type="checkbox"/> 2	The candidate can apply the competency in somewhat difficult situations. The candidate will require frequent guidance.	<ul style="list-style-type: none"> <li>• Offers to assist employees in resolving problems with their benefits election.</li> <li>• Works with other HR staff on a cross-functional team to improve coordination of activities.</li> <li>• Works with others to minimize disruptions to an employee working under tight deadlines.</li> </ul>
<input type="checkbox"/> 3	The candidate can apply the competency in difficult situations. The candidate may require occasional guidance.	<ul style="list-style-type: none"> <li>• Restores a working relationship between angry co-workers who have opposing views.</li> <li>• Acts courteous and tactful when confronted by an employee who is frustrated by a payroll problem.</li> <li>• Establishes cooperative working relationships with managers, so they are comfortable asking for advice on HR issues.</li> </ul>
<input type="checkbox"/> 4	The candidate can apply the competency in considerably difficult situations. The candidate requires no guidance.	<ul style="list-style-type: none"> <li>• Facilitates an open forum to discuss employee concerns regarding new compensation system.</li> <li>• Maintains contact with stakeholder groups when implementing new employee development program.</li> <li>• Builds on the ideas of others to foster cooperation during bargaining agreement negotiations.</li> <li>• Identifies and emphasizes common goals to promote cooperation between HR and line staff.</li> <li>• Identifies and alleviates sources of stress among a team developing a new automated HR system.</li> </ul>
<input type="checkbox"/> 5	The candidate can apply the competency in exceptionally difficult situations. The candidate has served as a key resource and advised others.	<ul style="list-style-type: none"> <li>• Presents shortcomings of a newly installed HR automation system in a tactful manner to irate senior management officials.</li> <li>• Explains the benefits of controversial policy changes to upset individuals at a public hearing.</li> <li>• Diffuses an emotionally charged meeting with external stakeholders by expressing empathy for their concerns.</li> </ul>

# Appendix H: Sample Structured Interview Group Rating Form

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## Structured Interview Panel Evaluation Form

**Instructions:** Translate each individual evaluation for each competency onto this form. If all of the individual competency evaluations are within one rating scale point, enter the average of the evaluations in the column labeled Group Evaluation. If more than one point separates any two raters, a consensus discussion must occur with each party justifying his/her evaluation. The lead interviewer or his/her designee should take notes on the consensus discussion in the space provided. Any changes in evaluation should be initialed and a final evaluation entered for each competency.

Competency (EXAMPLES)	Final Individual Evaluations			Group Evaluation
	(1)	(2)	(3)	
Interpersonal Skills				
Self-Management				
Reasoning				
Decision Making				
Problem Solving				
Oral Communication				
<b>Total Score</b>				

**Consensus Discussion Notes:**

**Signature Panel Member 1:** \_\_\_\_\_

**Signature Panel Member 2:** \_\_\_\_\_

**Signature Panel Member 3:** \_\_\_\_\_

# Appendix I: Prohibited Questions Matrix

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<b>SUBJECT</b>	<b>DO NOT ASK</b>	<b>YOU MAY ASK</b>
Gender	Are you male or female? What are the names and relationships of persons living with you?	None.
Residence	Do you own or rent? Give the names and relationships of persons residing with you.	What is your present address?
Race/Color	What is your race? What color is your hair, eyes, or skin?	None.
Age	What is your date of birth? How old are you?	If hired, can you provide proof that you are at least 18 years of age?
National Origin	What is your ancestry, national origin, descent ancestry, parentage, or nationality? What is the nationality of your parents spouse?	What languages do you speak or write fluently? (Do not ask unless strictly job related.)
Disabilities	Are you disabled?	Are you capable of performing the essential functions of this position, with or without reasonable accommodation?

<b>SUBJECT</b>	<b>DO NOT ASK</b>	<b>YOU MAY ASK</b>
Marital or Family Status	<p>What is your marital status?            What is your spouse's name?            What was your maiden name?            How many children do you have?            Are you pregnant?            Do you plan to have children?            What day-care provisions have you made for your children?</p>	<p>None.            (An employer may ask if candidates have any commitments or responsibilities preventing them from meeting attendance requirements, or if they anticipate lengthy work absences. Make sure you ask this question of all applicants.)</p>
Arrests and Convictions	<p>Have you ever been arrested?            Have you ever been charged with any crime?</p>	<p>Have you been convicted of any crime?            (If the application form asks for information on convictions, the employer should indicate that a conviction itself does not constitute an automatic bar to employment, and will be considered as it relates to fitness to perform the job in question.)</p>
Religion	<p>What is your religious affiliation or denomination?            What church do you belong to?            What is the name of your pastor, minister, or rabbi?            What religious holidays do you observe?</p>	<p>None.            (If you wish to know if an applicant is available to work Saturday or Sunday shifts, ask: "Are you available to work on Saturdays or Sundays if needed?" Make sure you ask this question of all applicants.)</p>
Citizenship	<p>What country are you a citizen?            Are you or other members of your family naturalized citizens?            If so, when did you or they become a U.S. citizen?            Attach a copy of your naturalization papers to your application form.</p>	<p>Are you a citizen of the United States?            If hired, are you able to prove eligibility to work in the United States?</p>